

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
P63712US0

US APPLICATION NO. (If known, see 37 CFR 1.5)

097341119

INTERNATIONAL APPLICATION NO.
PCT/EP99/03660

INTERNATIONAL FILING DATE
27 May 1999

PRIORITY DATE CLAIMED
27 May 1998

TITLE OF INVENTION

RAPID AND SIMPLE PROCESS FOR INSOLATION OF CIRCULAR NUCLEIC ACIDS

APPLICANT(S) FOR DO/EO/US

Philippe SAUER and Jie KANG

Applicant herein submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT Request Form

09/341119

PCT/EP99/03660

P63712US0

17. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (1))
..... \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (2))
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00

Neither international preliminary examination fee (37 CFR 1.492 (a) (3)) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO) \$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (4))
and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

Search Report prepared by the EPO or JPO (37 CFR 1.492 (a) (5)) ... \$840.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate
Total Claims	13 -20 =	-0-	X \$18.00
Independent Claims	2 -3 =	-0-	X \$78.00
Multiple dependent claim(s) (if applicable)			+ \$260.00

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 970.00

Reduction by 1/2 for filing by small entity, if applicable.

Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 970.00

Processing fee of \$130 for furnishing the English translation later the ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

\$ 970.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00

\$

TOTAL FEES ENCLOSED =

\$ 970.00

Amount to be
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 970.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 06-1358 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge my account any additional fees set forth in
§1.492 during the pendency of this application, or credit any overpayment to Deposit Account No.
06-1358. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:
Jacobson, Price, Holman & Stern, PLLC
400 7th Street, N.W.
Suite 600
Washington, DC 20004
202-638-6666

By William E. Player
William E. Player
Reg. No. 31,409